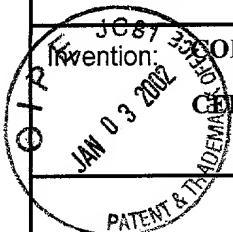


## AMENDMENT TRANSMITTAL LETTER (Large Entity)

Applicant(s): Alan W. Shen, David L.A. Anson, Roman Sherman

Docket No.

13768.218

Serial No.  
09/963,988Filing Date  
September 26, 2001Examiner  
Alan W. ShenGroup Art Unit  
Not yet assignedInvention: COMMUNICATING MULTI-PART MESSAGES BETWEEN  
CELLULAR DEVICES USING A STANDARDIZED INTERFACE

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Transmitted herewith is an amendment in the above-identified application.

The fee has been calculated and is transmitted as shown below.

## CLAIMS AS AMENDED

	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST # PREV. PAID FOR	NUMBER EXTRA CLAIMS PRESENT	RATE	ADDITIONAL FEE
TOTAL CLAIMS	-	20 =	0	x \$18.00	\$0.00
INDEP. CLAIMS	-	3 =	0	x \$80.00	\$0.00
Multiple Dependent Claims (check if applicable)	<input type="checkbox"/>				
TOTAL ADDITIONAL FEE FOR THIS AMENDMENT					\$0.00

No additional fee is required for amendment.

Please charge Deposit Account No. in the amount of  
A duplicate copy of this sheet is enclosed.

A check in the amount of to cover the filing fee is enclosed.

The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No.  
A duplicate copy of this sheet is enclosed.

Any additional filing fees required under 37 C.F.R. 1.16.

Any patent application processing fees under 37 CFR 1.17.

Signature

Dated: January 3, 2002

Adrian J. Lee  
Attorney for Applicant  
Registration No. 42,785

I certify that this document and fee is being deposited on with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature of Person Mailing Correspondence

Typed or Printed Name of Person Mailing Correspondence

CC:

**CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)**

Applicant(s): Alan W. Shen, David L.A. Anson, Roman Sherman

**Docket No.**

13768.218

Serial No. 09/963.988

**Filing Date**

September 26, 2001

**Examiner**

Alan W. Shen

Group Art Unit  
Not yet assigned

Invention: **COMMUNICATING MULTI-PART MESSAGES BETWEEN CELLULAR DEVICES USING A STANDARDIZED INTERFACE**

I hereby certify that this \_\_\_\_\_ **(See list below)**  
*(Identify type of correspondence)*

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under  
37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on  
January 3, 2002  
(Date)

Ilona D. Craske

*(Typed or Printed Name of Person Mailing Correspondence)*

(Signature of Person Mailing Correspondence)

### Significance of the following data

EL 813 857 200 US

(*"Express Mail" Mailing Label Number*)

Submitted:

Amendment Transmittal Letter (in triplicate) (1 page)

**Response to Notice to File Corrected Application Papers**

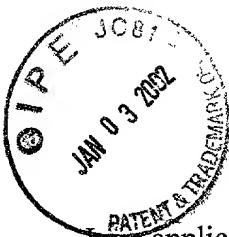
and attached USPTO Notice to File Corrected Application Papers (3 pages)  
(2 pages)

Separate Letter to Draftsperson (2 pages)  
(1.0)

Formal Drawings 1-10 (containing corrected Page 4) (10 pages)

Copies of Redline drawings illustrating change on Page 4 (10 pages)

Certificate of Mailing by Express Mail Label EL 813 857 200 US (1 page)



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Alan W. Shen, et al.

Serial No.: 09/963,988 ) Art Unit

) 2661

Filed: September 26, 2001 )

)

For: COMMUNICATING MULTI-PART MESSAGES )  
BETWEEN CELLULAR DEVICES USING A )  
STANDARDIZED INTERFACE )Examiner: *Not Yet Assigned* )RESPONSE TO NOTICE TO FILE CORRECTED APPLICATION PAPERSAssistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

This letter is in response to the PTO Formalities Letter mailed on November 05, 2001 entitled "Notice to File Corrected Application Papers". In the letter, the Customer Service Center granted the referenced application a filing date though it accorded the application only informal status due to a defect in the drawings. Specifically, the Customer Service Center found a defect in the consecutive numbering of the drawings.

Applicants, herewith, respectfully submit corrected, substitute drawings in accordance with *MPEP* §§ 608.02(p) - (r), 37 *CFR* § 1.121(a)(3)(ii), and 37 *CFR* § 1.84 (See Exhibit A). As required in *MPEP* §§ 608.02(q) and (r), applicants are sending herewith a separate letter to the Draftsperson describing the correction, as well as a full set of substitute drawings that comply

with 37 CFR § 1.84 (See Exhibit B). Applicants are also submitting redline drawings illustrating the change. Since the correction involves changing only the numbering of one "FIG. 3" to "FIG. 4", with no change to the drawings themselves, applicants respectfully submit that the corrections do not constitute new matter. These substitute drawings and letters are believed fully responsive to the referenced Office mailing.

In the event of any remaining question, the Office is invited to contact the undersigned.

Dated this 3rd day of January, 2002.

Respectfully submitted,



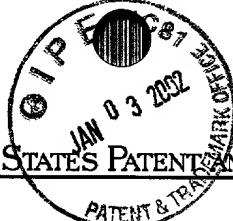
Adrian J. Lee  
Attorney for Applicant  
Registration No. 42,785

WORKMAN, NYDEGGER & SEELEY  
1000 Eagle Gate Tower  
60 East South Temple  
Salt Lake City, Utah 84111  
Phone: (801) 533-9800  
Fax: (801) 328-1707

AJL:mjf  
G:\DATA\WPDOCSRN\MICROSOFT\18.Ltr.Drawing.Correct.doc



UNITED STATES PATENT AND TRADEMARK OFFICE



COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/963,988	09/26/2001	Alan W. Shen	13768.218

CONFIRMATION NO. 3017  
FORMALITIES LETTER



\*OC000000007023615\*

RICK D. NYDEGGER  
WORKMAN, NYDEGGER & SEELEY  
1000 Eagle Gate Tower  
60 East South Temple  
Salt Lake City, UT 84111

Date Mailed: 11/05/2001

NOTICE TO FILE CORRECTED APPLICATION PAPERS

*Filing Date Granted*

This application has been accorded an Application Number and Filing Date. The application, however, is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given **TWO MONTHS** from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - more than one figure is present and each figure is not labeled "Fig." with a consecutive Arabic numeral (1, 2, etc.) or an Arabic numeral and capital letter in the English alphabet (A, B, etc.)(see 37 CFR 1.84(u)(1));

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

*Fe/*  
PART 2 - COPY TO BE RETURNED WITH RESPONSE